



# FORTNUM

PRIVATE WEALTH



## Fortnum Principal Practice Profile

Fortnum Private Wealth Pty Ltd (ABN 54 139 889 535), AFSL 357306 trading as Fortnum Financial Advisers (FFA) is a Group representing a number of like-minded advisers united in a "client-first" approach, in which all Principal Practices have an equity stake.

Griffin Financial Services <sup>1</sup> (ABN 63 771 277 540) and its advisers are Authorised Representatives of FFA.

Note: This Principal Practice Profile forms an essential part of the Financial Services Guide ("Guide"). The Guide is not complete without it.

Issued: 1/04/2016

Version: 3.1

## Griffin Financial Services Pty Ltd

Authorised Representative Number: 413970

Our Practice has been established to provide a range of wealth advice and expertise to assist clients with every aspect of their financial situation. Our firm has a disciplined approach to helping you build and manage your plan for financial independence.

## Our Advisers

### Scott Dawkins

DipFS(FP), BFin, BCom

Authorised Representative Number: 413969

In 2004 Scott began work as a Financial Adviser in the United Kingdom. Scott's involvement in this advice business went beyond servicing clients as he was promoted to Senior Partner where Scott was accountable for the development, training and mentoring of trainee advisers in the group.

Scott is the principal and director of Griffin Financial Services. Scott founded Griffin Financial Services in November 2011 which specialised in providing financial advice to UK expats living in Australia.

In 2012 Scott was a finalist in the AFA Rising Star Awards and in 2014 he was recognised with a Professional Excellence Award within his AFSL.

Scott has the following qualifications:

- Diploma of Financial Services (Financial Planning)
- Bachelor of Finance – The University of Adelaide (2004)
- Bachelor of Commerce (Marketing) The University of Adelaide (2004)

### Matt Bradley

DipFP

Authorised Representative Number: 1236244

Matt has worked in the Financial Services industry since 2004 beginning his career in London before moving to Sydney in 2009.

---

<sup>1</sup> Griffin Financial Services Pty Ltd  
FORTNUM FINANCIAL ADVISERS



# FORTNUM

PRIVATE WEALTH



It was then that Matt set up the Finance and Accounting desk for a small boutique company, where he remained for 5 exciting and successful years.

In 2014 Matt joined AMP Financial Planning as a Financial Adviser with a specialist area of helping accumulators make informed financial decisions and helping them understand their options.

Mid 2015 Matt joined Griffin Financial Services a boutique advisory firm specialising in the Expat community helping return Australian and migrating professionals to make sound financial decisions to achieve their short, medium and lifelong goals.

## Products Offered

The advisers noted in this profile are authorised to provide financial product advice to their clients and deal in:

- Deposit and payment products
- Debentures, stocks or bonds issued or proposed to be issued by a government
- Derivatives
- Life investment or life risk products
- Debentures, stocks or bonds issued or proposed to be issued by a government
- Interests in managed investment schemes, including investor directed portfolio services (IDPS)
- Managed Discretionary Account (MDA) services
- Retirement savings accounts
- Superannuation

## Services Offered

The advisers noted in this profile are able to offer their clients the following services:

- Investment strategies including gearing and savings plans
- Budget and cash flow planning
- Debt management
- Superannuation advice, including salary sacrifice and consolidation strategies
- Personal insurance strategies

- Centrelink / DVA advice
- Retirement planning advice
- Estate planning advice
- Advice on ownership and structures eg. Discretionary and family trusts
- Portfolio review services
- Investment strategies including gearing and savings plans, ASX Listed Securities
- Budget and cash flow planning
- Ongoing advisory services
- Referrals to specialists, eg. Accountants, solicitors

In addition, Scott Dawkins is also authorised to give advice in:

- Self-Managed Superannuation

## Client Fees

There are various ways that you may pay for the services that are provided.

- Fee For Service
- Commissions from a product or service provider
- A combination of the above

Your adviser will obtain your agreement to the arrangement prior to proceeding.

## Fee for Service

A fee for service may be payable for the following services:

- Preparation of advice
- Initial adviser services
- Ongoing adviser services
- Ongoing review services

The fee for service may be determined by any of the following:

An hourly rate of \$275 per hour (including GST) depending on the complexity of your circumstances;



## FORTNUM PRIVATE WEALTH

An agreed fee;

A percentage of funds under advice of up to 1.1% (including GST) depending on the complexity of your circumstances;

A combination of any of the above.

If you pay a fee for service to FFA, they may pay a proportion of this to Griffin Financial Services Pty Ltd as detailed in the Guide under the heading 'Remuneration received by Principal Practices'.

If your adviser receives a proportion of this remuneration they will inform you of the amount at the time they provide you with advice

### **Commissions from product and service providers**

Commissions may be paid to FFA by product and service providers who, in turn, may pay a proportion of this to Griffin Financial Services Pty Ltd as detailed in the Guide under the heading 'Remuneration received by Principal Practices'.

If your adviser receives a proportion of this remuneration they will inform you of the amount at the time they provide you with advice.

### **Adviser Remuneration**

The advisers noted in this profile may be remunerated by one or more of the following methods. If any are relevant to the advice provided to you, further details will be set out in your advice document.

#### ➤ Salary

Your adviser may be paid a salary based on experience and capability.

#### ➤ Bonus

Your adviser may be eligible to receive a bonus based on a combination of revenue and other non financial measures that relate to compliance, staff training and the quality of service.

### **Other benefits**



Your adviser may also receive other benefits, all of which are detailed in the Guide under the heading 'other benefits'.

Your adviser is also required to keep a register of small value benefits (i.e. \$100 to \$300 in value) which may be received by them from product and service providers. These benefits are permissible unless they are received frequently or similar benefits, when combined, exceed \$300. These registers are available at your adviser's office for inspection with 7 days' notice.

### **Contact details**

If you would like to make an appointment, please contact our office on:

Phone: (02) 9045 3724

Email: [info@griffinfs.com.au](mailto:info@griffinfs.com.au)

Address:

Suite 1402, Level 14, 109 Pitt Street,  
Sydney NSW 2000